



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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08/872,659 05/10/97 NARY

016199/1110

0242/1030  
LIDDELL SAPP ZIVLEY HILL & LABOON  
600 TRAVIS SUITE 3400  
HOUSTON TX 77002-3095

NOT ASSIGNED

1106  
DATE MAILED:

10/30/97

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 130 for a ☒ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

*If all required items on this form are filed within the period set above, the total amount owed by applicant as a*  
☒ large entity ☐ small entity (verified statement filed), is \$ 130

- ☒ 1. The statutory basic filing fee is:  
☒ missing.  
☐ insufficient.

Applicant must submit \$ 130 to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.  
Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:  
☒ is missing.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.  
An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are:  
☐ missing.  
☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.  
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:  
An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$ \_\_\_\_\_ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).  
☐ 7. Your filing receipt was mailed in error because your check was returned without payment.  
☐ 8. The application does not comply with the Sequence Rules.  
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

- ☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the response.**

*S. Martin*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

12-30-17

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02/27/1998 JTIPPETT 00000000  
01 FC:101  
02 FC:105



FEE VALUE ACCOUNTABILITY	
DEPOSIT ACCOUNT NO.	
12 1322	
FEE CODE	VALUE FURNISHED
121750	
121750	
121750	

Direct Dial: (713) 226-1142  
email: jonesj@liddellsapp.com

December 12, 1997

Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

Re: CIP Application corresponding to USSN: 08/872,659  
Entitled: "Transition Metal Catalysts containing Bidentate Ligands and  
Method of Using and Preparing Same"  
Our Ref.: 016199-1110

Dear Sir:

Enclosed please find the following for filing:

1. Duplicate of this cover letter;
2. Copy of Notice of Missing Parts;
3. Check in the amount of \$ 920.00 for filing fee;
4. Power of Attorney by Inventor;
5. Assignment, Recordation Cover Sheet and filing fee of \$40;
6. Declaration; and
7. Postcard.

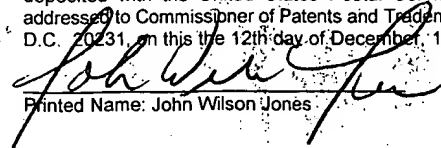
The Commissioner is hereby authorized to charge any underpayment of filing fees or credit any overpayment to Deposit Account No. 12-1322 (Our ref. 016238-1110 ). A duplicate of this sheet is enclosed.

With best regards,

  
John Wilson Jones

Certificate of Mailing under 37 C.F.R. 1.8(a)

I hereby certify that this document and its attachments are being deposited with the United States Postal Service in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231, on this the 12th day of December, 1997.

  
Printed Name: John Wilson Jones

Sector



Direct Dial: (713) 226-1142  
email: jonesj@liddellsapp.com

December 12, 1997

Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

Re: CIP Application corresponding to USSN 08/872,659  
Entitled: "Transition Metal Catalysts containing Bidentate Ligands and  
Method of Using and Preparing Same"  
Our Ref.: 016199-1110

Dear Sir:

Enclosed please find the following for filing:

1. Duplicate of this cover letter;
2. Copy of Notice of Missing Parts;
3. Check in the amount of \$920.00 for filing fee;
4. ~~Power of Attorney by Inventor;~~ *e not included*
5. Assignment, Recordation Cover Sheet and filing fee of \$40;
6. Declaration; and
7. Postcard.

The Commissioner is hereby authorized to charge any underpayment of filing fees or credit any overpayment to Deposit Account No. 12-1322 (Our ref. 016238-1110). A duplicate of this sheet is enclosed.

With best regards,

*John Wilson Jones*  
John Wilson Jones

Certificate of Mailing under 37 C.F.R. 1.8(a)

I hereby certify that this document and its attachments are being deposited with the United States Postal Service in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231, on this the 12th day of December, 1997.

*John Wilson Jones*  
Printed Name: John Wilson Jones



Attorney Docket No. 016199-1110

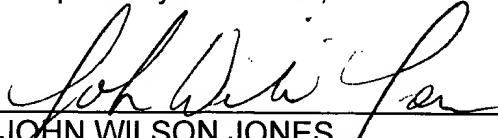
H1995A:191910-1  
016199:1110



The Commissioner is hereby authorized to charge any additional filing fees or credit any overpayment to Deposit Account No. 12-1322 (Our ref. 016199-1110). A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Date: December 12, 1997


  
JOHN WILSON JONES  
Registration No. 31,380

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600 Travis Suite 3400  
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(713) 226-1200  
(713) 223-3717 FAX

CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Box Missing Parts, Commissioner of Patents and Trademarks, Washington, D.C. 20231, on December ~~12~~ 1997.

16/10/97

  
JOHN WILSON JONES  
Registration No. 31,380